

INIA

TSBF

ICRAF

**CARBON DYNAMICS IN SLASH-AND-BURN
SYSTEMS AND LAND USE ALTERNATIVES :
FINDINGS OF THE ALTERNATIVE TO
SLAH-AND-BURN PROGRAMME.**

P. L. Woomer / Nairobi
C.A. Palm / Nairobi
A. Ricse / INIA-Perú
J. Alegre / Peru
C. Castilla / Colombia
D. Cordeiro / Brasil
K. Hairiah / Indonesia
J. Kotto Same / Camerom
A. Moukam / Camerom
V. Rodriguez / Brasil
M. Van Noordwijk / Indonesia

Nairobi, Kenya 1998

Carbon Dynamics in Slash-and-Burn Systems and Land Use Alternatives: Findings of the Alternative to Slash-and-Burn Programme

P. L. Woome¹, C. A. Palm², J. Alegre³, C. Castilla⁴, D. G. Cordeiro⁵, K. Hairiah⁶, J. Kotto-Same⁷, A. Moukam⁷, A. Ricse⁹, V. Rodrigues¹⁰, M. van Noordwijk¹¹

¹Department of Soil Science, University of Nairobi, PO Box 29053, Nairobi, Kenya; ²Tropical Soil Biology and Fertility Programme, PO Box 30592, Nairobi, Kenya; ³ICRAF-Peru, Lima, Peru; ⁴Apto 103, Cali, Colombia; ⁵EMBRAPA-Acre, PO Box 381, Rio Branco, Acre, Brazil; ⁶Brawijaya University, Malang 65145, Indonesia; ⁷IRAD, PO Box 2067, Yaounde, Cameroon; ⁸INIA, Pucallpa, Peru; ⁹EMBRAPA-Rondonia, PO Box 406, Porto Velho, Rondonia, Brazil; ¹⁰ICRAF-Indonesia, PO Box 161, Bogor 16001, Indonesia

Abstract

Conversion of natural ecosystems to agriculture, particularly through tropical deforestation, is a major source of greenhouse gas emission into the atmosphere. Too often, fallow intervals become increasingly shortened or eliminated as land use intensifies in recently cleared forests resulting in a large net loss of total system carbon. Total system carbon (TSC) was calculated for 116 sites in the humid forest zones of S.E. Asia (Indonesia), Africa (Cameroon) and the Amazon (Brazil and Peru) based on estimates of tree, understorey and root biomass, surface necromass and soil organic carbon. The land use categories examined were original forests (10), managed and logged-over forests (9), recently burned croplands (18), bush and young improved fallows (17), tree fallows (8), secondary forests (8), pastures (9), *Imperata* spp. grasslands (8), immature experimental agroforests (10) and mature agroforests and tree plantations (19). The land uses were arranged into chronosequences based upon land use transition and duration. TSC in a 20 year "traditional" slash-and-burn sequence were (t ha⁻¹): original forest (305) to burned cropland (52) to bush fallow (85) to tree fallow (136) to secondary forest (219). Logging reduced forest system carbon by 124 t ha⁻¹. Ten year-old pastures and 13 year-old *Imperata* spp. grasslands contained less TSC than croplands (-4 and -5 t C ha⁻¹, respectively). Recently established agroforestry systems

1 contain more TSC than did croplands (+11 t C ha⁻¹). Mature agroforests (130 t C ha⁻¹)
2 contained significantly greater TSC than croplands, pastures and grasslands (p < 0.001) but
3 significantly less than secondary forests of similar age (p < 0.001). Soil organic carbon (SOC)
4 represented 25% of the TSC stocks in original forests and 84%, 82% and 91% in croplands,
5 pastures and *Imperata* spp. grasslands, respectively. Aboveground C accounted for a
6 majority of the loss from forests converted to croplands (82%) but the SOC content of
7 croplands was also reduced by 17% to 35 t C ha⁻¹ (approximately 0-20 cm). Carbon
8 sequestration rates were calculated for three land use patterns derived from croplands. Natural
9 fallows re-accumulated 7.9 t C ha⁻¹ yr⁻¹ following land abandonment (r = 0.92).
10 Agroforestry systems, established at the time of initial land clearing, sequestered 3.3 t C ha⁻¹
11 yr⁻¹ (r = 0.70) and pastures/grasslands tended to lose C at a slow rate (600 kg ha⁻¹ yr⁻¹, n.s.).
12 Land use systems where trees are planted and managed have greater potential to sequester C
13 than field crops or pastures, but at sequestration rates less than those of natural succession.
14

15 **Key words:** *agroforestry, carbon sequestration, land use change, shifting cultivation, tropical*
16 *forests*

17 **Prepared for the Workshop on Carbon Pools and Dynamics of Tropical Ecosystems,**
18 **Belem, Brazil, 1-5 December 1997. To be published in a forthcoming volume of**
19 ***Advances in Soil Science.***

Introduction

The relative importance of tropical forests in the global carbon cycle has been debated over the past 20 years with several estimates of its contribution to the increase in atmospheric carbon dioxide (Woodwell et al., 1978; Houghton *et al.*, 1987; Detwiler and Hall, 1988; Hall, 1989; Post *et al.*, 1990). Today there is general agreement, based on land use change data and atmospheric data, that the tropics are a net source of C to the atmosphere, in the range of 1.1 to 2.1 Pg C y⁻¹ (Houghton, 1997). The primary cause of this net source is deforestation in the tropical zone, with Asia and Latin America accounting for over 80% of the flux (Houghton, 1995).

Tropical forests are cleared for a variety of reasons that include logging, establishment of plantations and pastures, and slash-and-burn agriculture. The primary cause of deforestation differs by country and even regions within countries but is usually associated with some form of slash and burn agriculture, either as the primary driving force or as a consequence of increased access to forests. Farmers practising slash and burn agriculture are clearing forests to produce food and seek improvements in their families' standards of living. In most cases, they are marginalized from society and government support programs and live in relative poverty. Efforts to reduce deforestation and greenhouse gas emissions resulting from deforestation must address these root causes.

In 1991 a global program, Alternatives to Slash and Burn Agriculture (ASB), was initiated to address the agronomic, environmental, social and political implications of slash and burn (Brady, 1996). The overall goal of the program was to compare the impact of current land use systems in the tropics and to identify alternatives that were both environmentally and agronomically or economically better. In addition, policies that would facilitate the adoption of these alternatives were considered. Teams of national and international scientists were established in key locations, referred to as benchmark areas, around the world representing the range in biophysical and socioeconomic environments in which slash and burn is practised.

The environmental impact of slash and burn in terms of net CO₂ flux as a result of land use

1 change depends on the rates of land use change, the biomass of the vegetation that is cleared,
2 the fate of the carbon cleared, the biomass and time course of the subsequent land use
3 systems, and the regrowth rates of vegetation. Much of the uncertainty in the values of CO₂
4 flux from the tropics is a result of inadequate estimates for these parameters (Houghton,
5 1997). One activity of the ASB project was to characterize the patterns of land clearing and
6 subsequent land use at the different sites and to quantify the changes in carbon stocks
7 associated with land clearing and establishment of different land use systems. Standardized
8 methods were established to measure carbon stocks in the forests, the various land use
9 systems established following slash and burn clearing, and promising best-bet alternatives at
10 the different sites. These data can be used to calculate the immediate and longer term loss
11 of carbon with slash and burn clearing and to identify those land use systems and best-bet
12 alternatives that sequester the most carbon. In this paper we present summary data on carbon
13 stocks in forests and slash and burn systems from nine of the ASB sites located in Brazil,
14 Cameroon, Indonesia, and Peru.

15 **Estimation of Carbon Stocks in Slash-and-Burn and Alternative Land Uses**

16 Early in ASB activities, a carbon stocks working group was formed among Programme
17 collaborators and given the responsibility of measuring 1) C stocks in forests undergoing
18 slash-and-burn; 2) C dynamics as these forests are converted to current land uses and 3) the
19 potential to sequester C in alternative "best-bet" land uses. An approach was adopted that
20 nests forests and current land uses within chronosequential transects, where short distances
21 in distance substitute for relatively great differences in time (Sanchez, 1987).

22 **Institutional participation and benchmark area selection.** Benchmark areas were selected
23 by four national committees in the ICRAF-coordinated global "Alternatives to Slash-and-Burn
24 Programme" (Brady, 1996). The nine Benchmark areas reported in this study belong to three
25 floristic zones, the Amazonian, Dipterocarp (S.E. Asia) and Guineo-Congolian (West and
26 Central Africa) Forests (Table 1). The national committees of Brazil, Cameroon, Indonesia
27 and Peru identified areas where negative environmental and economic impacts had resulted
28 from forest clearing by slash-and-burn practises that were accessible to national research
29 teams (Table 1). Within national research institutions, carbon study research teams were

1 organized and assisted through the development of standardized methods by scientists from
2 ICRAF and the Tropical Soil Biology and Fertility Programme (see Murdiyarso *et al.*, 1994).

3 **Transect position and land use selection.** The exact position of the land use
4 chronosequential transects and the selection of land uses within them was based upon the
5 local knowledge and community contacts of national team members. In principle, land use
6 transformation by slash-and-burn occurs in stages beginning with primary, managed or mature
7 secondary forests that are felled and burned, cultivated and then alternatively placed into a
8 longer-term land use (e.g. pasture or tree plantation) or abandoned to natural succession.
9 Team members would discuss these stages at informal meetings with local chiefs or
10 community leaders and in turn be introduced to individual slash-and-burn farmers willing to
11 host the study. The various land uses, their ages and original forest conditions were
12 discussed with farmers during site visits to candidate transects. Similar texture of the topsoil
13 was used as a criteria to assure that all land-use types within a chronosequence were of the
14 same soil type. Care was taken to exclude transects with non-representative soil conditions
15 or abrupt changes in terrain. During the selection process, several slash-and-burn land use
16 types were identified, including original forest (slight human impact), managed forest
17 (selectively logged), recently cleared croplands, bush fallow (less than 5 years following
18 clearing), open-canopy tree fallow (5 to 12 years), secondary forest (20 to 25 years), pasture,
19 *Imperata* grassland, young agroforest or improved fallow (often experimental) and mature
20 agroforest or tree plantation. Whenever possible, 'best-bets' were included within the land-
21 use chronosequences but in many cases it was necessary to collect data from experimental
22 stations, development projects, or other farms. Knowledge of original forest condition,
23 establishment date, and management history was prerequisite for inclusion of 'best-bets'
24 within this study.

25 **Aboveground carbon pool measurement.** Aboveground carbon was measured for trees,
26 understorey, and surface litter (necromass). Tree diameter measurements were used for
27 estimating tree biomass. Diameter at breast height (dbh) was measured by callipers or
28 diameter tapes and recorded for all trees with diameters greater than 2.5 cm within five
29 quadrates of 4 m x 25 m (Figure 1 A). The positions of the quadrates were assigned by
30 entering well within the individual land use and randomly selecting a direction of the

1 longitudinal axis of the quadrat, and then randomly selecting a new direction in which to
2 place the next quadrat (Figure 1 B). Quadrates were not allowed to "cross-over" one another
3 or to fall outside their intended land use. Tree buttressing was corrected by measuring the
4 diameter above the buttress. For trees branching below breast height, the diameter of all
5 branches was measured separately. Only trees with more than one half of their diameter
6 falling within the quadrates were recorded. Tree biomass was estimated with the allometric
7 equation based on tree diameter of Brown *et al.* (1989) for moist tropical forests: tree biomass
8 (kg tree^{-1}) = $38.4908 - 11.7883 \cdot D + 1.1926 \cdot d^2$ (adj $R^2=0.78$). The biomass of fallen dead
9 logs was measured within the quadrates based on volume (length x cross-sectional area) while
10 assuming a density of 0.4 g cm^2 . Tree biomass was converted to C by a factor of 0.45.

11 Understorey biomass, excluding trees with $\text{DBH} > 2.5 \text{ cm}$, was collected from two $1 \text{ m} \times$
12 1 m sub-quadrates positioned randomly along the central axis of each 100 m^2 quadrat (Figure
13 1 C). All vegetation occurring within the borders of the quadrat was cut at ground level and
14 collected. Surface litter, including rotting logs and charcoal, was collected within a 50 cm
15 $\times 50 \text{ cm}$ frame centrally placed within each sub-quadrat (Figure 1 C). Samples were
16 weighed, sub-sampled, oven dried at 65° C to constant weight and corrected for moisture
17 content. Live vegetation was assumed to contain 45% C on a dry weight basis and surface
18 litter was ground and analyzed for total organic carbon (Anderson and Ingram, 1993).

19 A soil and root sample was recovered from an area $20 \text{ cm} \times 20 \text{ cm}$ within each sub-quadrat.
20 The original guidelines recommended excavation to a depth of 40 cm , at 20 cm intervals,
21 although some cooperators chose shallower (15 cm in Indonesia) or greater depths (50 cm in
22 Brazil). Care was taken to recover as much roots as possible during the excavation except
23 in Indonesia where root biomass was not measured. Also during excavation bulk density
24 measurements were taken at 10 and 30 cm by use of 100 cm^3 rings. All soil and roots from
25 the hole were placed in bags and transported to the laboratory. A subsample was taken for
26 total C analysis. The remaining sample was dispersed in water, passed through a 2 mm
27 sieve, roots were collected off the sieve and washed in water without distinguishing live and
28 dead roots. Roots were oven dried at 65° C to constant weight, weighed, ground and ashed.
29 Ash-corrected dry weight was assumed to contain 0.45% C.

1 **Data compilation.** Additional information on each land use included its chronosequence,
2 benchmark area, geographic coordinates, duration, interval since forest clearing
3 (chronosequential age), soil sampling depth and bulk density. The carbon stock
4 measurements were tree, understorey, surface litter (necromass), root and soil C as $t\ C\ ha^{-1}$.
5 Combined variables were generated including total system C, total above- and below-ground
6 C, relative C stocks with respect to initial forest, proportion of aboveground and soil C. The
7 data were imported into a computer software program, sorted by land use and summary
8 statistics generated. Chronosequences were sorted into types (omitting initial forest C) and
9 carbon sequestration calculated by linear regression. In compiling total system carbon from
10 individual C pools, no distinction was given to the depth of soil sampling, rather soil values
11 were entered as provided. When soil data were compared between land uses and forest types,
12 however, only data reported for 0-15 and 0-20 cm were considered requiring that 31 of 116
13 cases be omitted from analysis.

14 **Carbon stocks in slash-and-burn and land use alternatives**

15 Estimates of carbon stocks residing in woody biomass, understorey, surface necromass, roots
16 and soil were collected for 116 sites within 9 benchmark locations. Of these sites, 30 were
17 located in Rondonia and Acre, Brazil, 35 in the forest zone of Cameroon, 30 in Sumatra,
18 Indonesia and 21 in the Peruvian Amazon. Current land uses accounted for 85 observations,
19 with 33% of these placed into a sequence of natural fallow succession, 9% agroforests, 6%
20 pastures and the remainder either forests or cropland (Table 2). "Best-bet" alternatives
21 accounted for 31 observations with 68% classified as agroforests, 16% improved fallows,
22 13% improved pastures and 3% improved managed forests. Because some "best-bet"
23 alternatives in one benchmark site closely resemble current practices at others, no distinction
24 was drawn between them when total system carbon estimates are compared between land uses
25 across benchmark sites.

26 Carbon stock estimates were grouped by land use and the duration since forest clearance, total
27 system carbon and the proportion of carbon residing aboveground calculated (Table 2). No
28 age was calculated for original or managed (disturbed) forests because the sequence was
29 assumed to begin with forest clearing. When compared by pairwise Tukey t-tests, five classes

1 of carbon stocks emerged. Carbon stocks were significantly greater in original than in
2 secondary ($p = 0.003$) and managed forests ($p < 0.001$). Managed forests, mature
3 agroforests and tree fallows did not significantly differ, nor did tree fallows and bush fallows,
4 or bush fallows from young agroforests, croplands, pastures and *Imperata* grasslands.
5 Carbon dynamics may be inferred from the proportion of carbon residing aboveground (Table
6 2). Forests and mature agroforests contain greater than 50% of C stocks aboveground, while
7 croplands, grasslands, recovering fallows and establishing agroforests contain less than half.

8 The forest system C of the original forests (Table 3) contained approximately 200 t
9 aboveground C ha⁻¹ (data not presented) in Cameroon and the Amazon. This estimate appears
10 large compared to 140 t C ha⁻¹ (310 t DM ha⁻¹) reported by Brown (1997) for the same areas
11 estimated by forest inventory methods. However, when the estimates obtained by forest
12 inventory are corrected for trees less than 10 cm dbh (an additional 5%, data not presented),
13 understorey (an additional 3%), and litter layer (an additional 10%, see Figure 2), the value
14 is adjusted to 165 t C ha⁻¹. The original quadratic allometric equation of Brown *et al.* (1989)
15 for estimating tree biomass that was employed in this study also gives relatively higher values
16 than the more recently derived power function equation of Brown (1997). Use of the new
17 equation with data from our study gives tree biomass estimates 70-95% that of the former
18 equation, bringing the aboveground estimates within the range of those reported above by
19 forest inventory methods. The single estimate for aboveground C in primary Dipterocarp
20 forests of Indonesia in our study however is extremely high compared to the 126 to 182 t C
21 ha⁻¹ reported by Brown (1997) for Malaysia. Given the relatively good agreement between
22 aboveground C estimates using our fairly rapid methodology with the estimates from forest
23 inventories, we recommend this method for measuring the C stocks of the vegetation in slash-
24 and-burn areas. It must be noted that the specific allometric equation employed to convert
25 tree dimensions to biomass affects results depending upon the size distribution of trees and
26 plots sizes.

27 The impact of forest management, other than slash-and-burn, is presented in Table 3. The
28 Amazonian forests were selectively logged by settlers, often with land title (Brazil). The
29 forests in Sumatra were either in the process of logging by large concession (Jambi) or were
30 extensively logged in the past (Lampung). Forest management was heterogeneous in

1 Cameroon with active forest concessions in Mbalmayo and extending toward Ebolowa.
2 Another feature of forest management in Cameroon is the cacao forests, where trees are
3 selectively felled and cacao planted as an understorey. This land use is considered as mature
4 agroforests in other tables and figures. Overall, forest management reduced original forest
5 carbon stocks by 46% with greatest losses observed from mechanized logging operations.
6 It is from these disturbed or logged forests that most of the slash and burn clearing is
7 occurring, the logging practice itself reducing the carbon stocks by more than half. Cropping
8 or pastures further reduce C stocks to 18% and 15%, respectively, that of the original forest,
9 or 29% of the disturbed forest.

10 Soil organic carbon stocks in the 0-15 and 0-20 cm soil layers in different land use categories
11 and forest zones are presented in Table 4. The average of 43 t C ha⁻¹ in the top 15-20 cm
12 of soil in the forest ecosystems (Table 4) is lower than the range of 46 to 69 t C ha⁻¹ reported
13 by Detwiler (1986), assuming that 45% of the carbon in a 1 m profile is found in the top 20
14 cm (Moraes et al., 1995). The value is within the range found by Moraes et al. (1995) for
15 undisturbed forests in the Amazon Basin of Brazil. The extremely low values of 31 t C ha⁻¹
16 for the Amazon forests of our study cannot be explained, especially since the forest values
17 are lower than for the other land use practices.

18 Overall, soil C stocks were greatest in forests and agroforests and less in crops, bush fallows
19 and grasslands. Significant differences in soil C with land use was not observed in Cameroon
20 (Kotto-Same et al., 1997), probably reflecting the less intensive use of land in this benchmark
21 area. The topsoil lost 13 to 39% of its carbon during the cropping phase in Cameroon and
22 Indonesia, the larger losses in Indonesia perhaps reflecting the more intensive land use in the
23 colonization areas compared to the traditional slash and burn systems in Cameroon. Detwiler
24 (1986) reported averages losses of 40% of the soil carbon in the top 40 cm with cropping.
25 Grasslands, including pastures, lost 21% of the carbon in the topsoil, similar to the 20% loss
26 in pastures reported by Detwiler (1986).

27 The allocation of carbon between woody biomass, understorey, litter, roots and soil is
28 presented in Figure 2. Soil C represents 13% of the forest system carbon and increases to
29 68% in the cropping systems. A large proportion of system carbon occurs within woody

1 biomass in forests, tree fallows and agroforests and is nearly absent in croplands, pastures and
2 *Imperata* grasslands. Croplands contain higher amounts of litter than do other land uses,
3 which may be largely attributed to fallen and partly combusted woody residues. *Imperata*
4 grasslands contain a large proportion of root biomass.

6 **Carbon dynamics with tropical forest land use**

7 The most rapid loss of system carbon results from felling and burning original and managed
8 forests, with an average loss of $58.3 \text{ t C ha}^{-1} \text{ yr}^{-1}$ occurring between forest clearing (yr zero
9 in the slash-and-burn chronosequence, Figure 4) and the mean duration of cropping (2.1 yr,
10 Table 2). Difficulties were encountered in quantifying carbon loss from slash-and-burn
11 during a single year beginning with forest disturbance. On one hand, farmers do not always
12 clear forests during a given year, on the other, burning continues over several years as
13 remnant trees are felled and burned along with remaining woody litter. Pasture establishment
14 in the Brazilian Amazon is often based upon a two stage burning strategy where forests are
15 cut and burned, seeded while land returns to fallow for 2-4 years, the cut and burned
16 resulting in near-complete stands of fire resistant grasses. In general, 80% of the system
17 carbon is lost during the clearing and cropping phase.

18 Land use following the cropping phase can result in increased carbon losses or carbon
19 sequestration. Following crop abandonment carbon sequestration in the vegetation and soils
20 of natural fallow succession was $7.9 \text{ t C ha}^{-1} \text{ yr}^{-1}$ (Figure 3) and was least in Amazonian bush
21 fallow and greatest in the tree fallows and secondary forests in Cameroon (Table 5). Carbon
22 sequestration rates of various fallow types could not be calculated for all forest zones, owing
23 to the scarcity of older fallows in many locations. These carbon sequestration rates fall with
24 fallowing and regrowth of secondary forests are within the range of $2 \text{ to } 9 \text{ t C ha}^{-1} \text{ yr}^{-1}$ in
25 vegetation and litter reported by Szott et al. (1994). Houghton (1995) reported lower values
26 of $2 \text{ to } 5 \text{ t C ha}^{-1} \text{ yr}^{-1}$. Our study included the recovery of carbon in the soil which would be
27 $0.2 \text{ t C ha}^{-1} \text{ yr}^{-1}$ at a maximum. Indeed the relatively high C recovery rates measured in the
28 benchmark sites may help substantiate the claim that regrowth rates in the tropics are higher
29 than previously estimated (Houghton, 1995) or could be simply be a function of using
30 allometric equations for estimating biomass that were developed for mature forests rather than

1 young secondary forests. Planted tree fallows do not seem to increase carbon sequestration
2 rates above that of the natural fallow (Szott et al., 1994) but might do so in cases where seed
3 banks of trees have been depleted, such as the case of pastures (Uhl et al., 1988).
4 Agroforests sequestered carbon at a lower rate than do natural fallows ($3.3 \text{ t C ha}^{-1} \text{ yr}^{-1}$, $r =$
5 0.70). Small amounts of carbon loss continued during the cropland to pasture or *Imperata*
6 grassland sequence (Figure 4).

7 "Bad bets" and "best bets"

8 Traditional slash-and-burn agriculture as practised by sparse indigenous populations in large
9 forests and non-market settings does not result in large-scale or long term environmental
10 damage but rather may be viewed as another source of patch dynamics (Kotto-Same *et al.*,
11 1997). But this form of slash-and-burn was not encountered during our investigations, its
12 closest resemblance being the fallow regeneration practised by indigenous tribes with poor
13 access to markets in Mbalmayo and Ebolowa, Cameroon. Yet even these farmers have
14 created a rapidly retreating forest margin and reduced fallow intervals. Forest destruction is
15 massive when settlers, with poor knowledge of forest resource utilization (Fujisaka *et al.*,
16 1996) migrate to humid forests and prepare lands for permanent utilization. From the
17 environmental standpoint, attempts to mine system nutrients for annual food crop production
18 or pasture establishment without regard to the need for longer-term input management are
19 poor land use alternatives. The invasion of *Imperata* spp. into transmigration areas of
20 Indonesia and degraded pastures of Brazil may be regarded as symptomatic expression of
21 poor land management. Another example of poor management of humid forest resources is
22 exhaustive logging where standards for acceptable extraction are progressively lowered until
23 only ill-formed or very small trees remain, as was noted in Jambi, and Pucallpa. But the
24 documentation of carbon loss from deforestation "horror stories" was not the intent of our
25 studies, rather we sought to identify opportunities to reduce the deleterious environmental
26 impacts within the tropical forests undergoing rapid transition.

27 **Current forestry and agroforestry.** Owing to the large proportion of system C residing in
28 trees of the humid forests (Figure 2), the obvious opportunities to conserve or sequester
29 carbon involve the protection or re-establishment of trees. In many cases, these involve

1 current management practices, as with the jungle rubber agroforestry in Indonesia which
2 contains up to 147 t C ha⁻¹ after 30 years or the forest cacao managed forests in Cameroon
3 with 179 t C ha⁻¹, these systems have remained over many decades. The economic viability
4 of those pursuits dictate the future of carbon storage within those land uses. In the same
5 manner, tropical forest plantations have potential for significant and renewable carbon
6 storage. An *Acacia mangium* plantation contained 200 t C ha⁻¹, over half that of the original
7 forest, in less than 20 years in Sumatra; these production systems however often cannot meet
8 the short-term needs of growing and migrating populations of smallholders under current
9 policy environments (Tomich *et al.*, 1997). The economies of many lesser developed nations
10 are dependent upon forest resource utilization, and expectations by more developed nations
11 that tropical forests be set aside for future ecotourists are not realistic, nor consistent with the
12 historical utilization of forests in developed countries.

13 The potential of more simple agroforests, as compared to complex agroforests such as jungle
14 rubber, to sequester carbon is less well known because of the relatively young age of many
15 of these agroforestry systems. The young (less than 10 y) simple agroforests measured in this
16 study had an average of 65 t C ha⁻¹, accumulating about 1.5 t C ha⁻¹ y⁻¹. Dixon (1995) reports
17 carbon stocks of 12 to 228 t C ha⁻¹ for a variety of agroforestry, including silvopastoral,
18 systems in the tropics, the large range depending on the complexity and age of the systems.

19 **Deflection from deforestation.** Sanchez (1990) described the socio-economic effects likely
20 to result from widespread tropical deforestation where landless poor with inadequate land
21 management skills and inputs migrate to tropical forests and speculated that each hectare
22 placed into permanent, profitable agriculture has potential to offset deforestation of 5-10
23 additional hectares by shifting cultivators. He also noted the important role of national
24 policies in deforestation, particularly through government-sponsored settlement programmes.
25 The concept of deflection from deforestation through agricultural intensification remains
26 unproven and a provocative issue because of the likelihood that landless poor would "stream"
27 toward new land and agricultural opportunities. Harwood (1996) describes the need for
28 capital and technical inputs required within agricultural intensification, suggesting that these
29 remain a barrier to agricultural change unless production problems within current practices
30 arise. Agroforestry systems offer potential for carbon sequestration (Figures 2 & 4) but do

1 these systems deflect from deforestation? In Cameroon, farmers adopted cacao agroforestry
2 but continue to clear forest margins to cultivate annual crops for food and market (Kotto-
3 Same, 1997). Farmers in Theobroma who participated in the carbon dynamics studies were
4 converting degraded pastures to fruit orchards but continuing to clear additional forest for
5 pasture. It may be naive to consider that a new land management will completely substitute
6 for another, rather it will be adapted within the slash-and-burn farming system or that new
7 agricultural or agricultural opportunities are sufficient to eliminate the perceived need to clear
8 forests. Another approach to deflection is the requirement that settlers in Theobroma and
9 Pedro Peixoto (Brazil) clear only 50% of their land and manage the remaining forest. This
10 principle is seldom effective because migrant farmers often lack forest management skills,
11 land derives value through clearance and the 50% clearance requirement is seldom enforced
12 (Fujisaka *et al.*, 1996). An important criteria in comparing the environmental benefits of
13 various "best bets" is their potential for deflection, however, criteria for determining
14 deflection must be better defined.

15 **Extrapolation of system C measurements**

16 The carbon estimates of different land uses in sites of active deforestation have value within
17 themselves in comparing the environmental benefits of different land use alternatives, but may
18 also be used in geographic applications and to validate output of simulation models. Kotto-
19 Same *et al.* (1997) describe an analysis using the Cameroon data set in which system carbon
20 estimates were substituted into mapping units which had changed from tropical forest to other
21 land uses between 1973 and 1988. This approach indicated that 202 Mt C were lost from
22 20,000 km² deforested during 15 years. Similar data extrapolation is being undertaken with
23 data sets from the other benchmark areas.

24 The carbon estimates may also validate environmental simulations generated by the
25 CENTURY Model (see Parton *et al.*, 1987 & 1994). Sitompul *et al.* (1996) simulated the
26 effects of contrasting land management following forest disturbance in an ultisol at Lampung
27 including natural fallow regrowth, conversion to sugar and current farmer rice cultivation
28 practices. Natural fallow rapidly re-established soil organic C at 50 t C ha⁻¹ but all crop
29 management strategies resulted in continued SOC loss over 25 years, with most loss occurring

from the lighter fractions (SOM1C and SOM2C). Sugar cane management resulted in 11% less loss in SOC than did current farmer practices.

Output from CENTURY ver. 4.0 (Metherell *et al.*, 1993) is presented in Figure 5 where two alternative land managements are compared to an expected scenario of slash-and-burn followed by bush fallow and continuous maize cultivation. When basic conservation measures, such as protection of economically important trees, felling along contours to reduce soil erosion and organic inputs to soils are practised (Kotto-Samie, 1997), total system C losses are reduced by 24% over 53 years. In contrast, establishment of rubber agroforestry increases the 53 year average total system C by 99 t C ha⁻¹ over current practices. The agroforestry scenario may be over estimated as many plantations require replanting in less than 53 years.

Carbon dynamics and national agendas

One of the benefits of this research is to raise the profile of carbon dynamics studies within national research structures. From its inception, the Alternatives to Slash-and-Burn Programme was based upon partnership between international and national research institutes (Brady, 1996) with critical decisions on study locations, land use selection and "best-bet" alternatives the responsibilities of the lead national agency at each Benchmark Area (Table 1). In Brazil and Cameroon, lead agencies had little past experience in carbon dynamics measurement and were assisted by national universities and international partners, particularly the Tropical Soil Biology and Fertility Programme and the International Centre for Research in Agroforestry. In Peru, national partners included teams with strong background in forestry but required assistance in selecting representative land uses within the slash-and-burn chronosequence. Indonesia initiated its carbon studies by sponsoring a workshop attended by several national institutions and universities where experiences were shared and research plans formalized (Murdiyarso *et al.*, 1994).

Mid-way within these investigations, all national teams were conducting autonomous studies at sites and within land uses of their choice. With this autonomy, some sacrifices in cross-site comparability were experienced as some teams chose to modify soil sampling methods. This

1 sacrifice seems small by comparison to the strengthening of global change agendas within the
2 national structures of countries most affected by tropical deforestation.

3 **Assessment and complementarity of rapid carbon estimation procedures**

4 One criticism open to the relatively rapid carbon estimation methods developed for this study
5 is the relative importance of tree biomass (Figure 2) compared to the area and number of
6 trees measured (Figure 1). The allometric equations used for estimating tree biomass were
7 developed from mature forests while many of the systems studied were young secondary
8 forests and agroforests. There is perhaps a need to develop equations for young secondary
9 forests and specific equations for many of the common agroforestry tree species.

10 No pre-determined method of main quadrat alignment (randomization) was found acceptable
11 as this would often lead the team outside of its intended land use or into poorly representative
12 terrain. This led to our selection of field randomization of quadrat direction by "blind-spin-
13 and-toss". In practising this form of randomization, one must be careful not to attempt
14 targeting larger trees as these often account for a large proportion of woody forest biomass,
15 particularly after previous tosses have established quadrates that contain few trees. In some
16 cases, teams were subject to additional pressures by onlookers who criticized them for failing
17 to measure the largest trees in their forests. In one case, a local chieftain in Ebolowa insisted
18 that these trees be measured and measurements performed but without data entry. Another
19 source of field confusion was disagreement between local experts and/or farmers in
20 distinguishing primary, managed and mature forests. In general, land managers with clear
21 land tenure (Brazil and Indonesia) appeared to have more concrete opinions on land history,
22 occasionally consulting farm records. In areas with traditional land tenure (Cameroon) or
23 where land operations were previously interrupted by civil unrest (Peru), land histories tended
24 to be more controversial and greater importance was placed upon the insight of the research
25 team.

26 The carbon dynamics studies reported in this paper are but one component of the international
27 research agenda of the Alternatives to Slash-and-Burn Programme. Other activities include
28 monitoring greenhouse gases (GHG), and the assessment of above- and below-ground

1 biodiversity. In many cases, carbon dynamics studies preceded these other investigations and
2 many of the chronosequences and land uses identified by the carbon dynamics team were later
3 characterized in terms of GHG and biodiversity. Some difficulties were encountered in
4 complete interchange of sites between research themes. Aboveground biodiversity (AGB) was
5 assessed by combining plant species with indices of plant functional attributes (Kenya, 1997).
6 The minimum sample area deemed necessary for this approach was larger than that
7 for C estimation and many transitional land uses could not be measured. Below-ground
8 biodiversity (BGB) teams focused their attention on the population sizes and diversity with
9 five functional groups of soil organisms; macrofauna, nematodes, rhizobia, mycorrhizae and
10 decomposer communities. These procedures were too time and material intensive to measure
11 all of the sites characterized by the carbon team. Nonetheless, plans are under way to
12 combine the data obtained by the four research groups into the fuller context of environmental
13 impacts of current slash-and-burn and alternative land uses, and to compare these impacts to
14 potentials for poverty alleviation (Kenya, 1997).

Literature Cited

- 1
2 Anderson, J.M. and J.S.I. Ingram. 1993. Tropical Soil Biology and Fertility: A Handbook
3 of Methods. CAB International, Wallingford, UK. 221 p.
4 Brady, N. C. 1996. Alternatives to slash-and-burn: a global perspective. Agriculture,
5 Ecosystems, and Environment 58: 3-11.
6 Brown, S. 1997. Estimating biomass and biomass change of tropical forests, a primer.
7 FAO Forestry Paper 134, FAO, Rome.
8 Brown, S., A.J.R. Gillespie and A.E. Lugo. 1989. Biomass estimation methods for tropical
9 forests with applications to forest inventory data. Forest Science 35:881-902.
10 Detwiler, R. P. 1986. Land use change and the global carbon cycle: the role of tropical
11 soils. Biogeochemistry 2: 67-93.
12 Detwiler, R. P. and C. A. S. Hall. 1988. Tropical forests and the global carbon cycle.
13 Science 239: 42-47.
14 Dixon, R. K. 1995. Agroforestry systems: sources or sinks of greenhouse gases?
15 Agroforestry Systems 31: 99-116.
16 Fujisaka, S., Bell, W., Thomas, N., Hurtado, L. and Crawford, E. 1996. Slash-and-burn
17 agriculture conversion to pasture and deforestation in two Brazilian Amazon colonies.
18 Agric. Ecosyst. Environ. 59:115-130.
19 Hall, D.O. 1989. Carbon flows in the biosphere: Present and future. J. Geographical Society
20 146:175-181.
21 Harwood, R.R. 1996. Development pathways toward sustainable systems following slash-and-
22 burn. Agric. Ecosyst. Envir. 58:75-86.
23 Houghton, R. A. 1997. Terrestrial carbon storage: Global lessons from Amazonian
24 research. Ciencia e Cultura 49: 58-72.
25 Houghton, R. A., R. D. Boone, J. R. Fruci, J. E. Hobbie, J. M. Melillo, C. A. Palm, B.
26 J. Peterson, G. R. Shaver, G. M. Woodwell,, B. Moore, D. L. Skole, N. Myers.
27 1987. The flux of carbon from terrestrial ecosystems to the atmosphere in 1980 due
28 to changes in land use: geographic distribution of the global flux. Tellus 39B: 122-
29 139.
30 Kenyatta, C. (ed.). 1997. Alternatives to Slash-and-Burn: Report of the 6th Annual Review
31 Meeting. 17-27 August 1997. Bogor, Indonesia. 124 p.

- 1 Kotto-Same, J., Woomer, P.L., Moukam, A. and Zapfac, L. 1997. Carbon dynamics in
2 slash-and-burn agriculture and land use alternatives of the humid forest zone in
3 Cameroon. *Agric. Ecosyst. Environ.* (in press).
- 4 Metherell, A.K., Harding, L.A., Cole, C.V. and Parton, W.J. 1993. CENTURY: Soil
5 Organic Matter Model Environment. Colorado State University.
- 6 Moraes, J. L., C. C. Cerri, J. M. Melillo, D. Kicklighter, C. Neill, D.L. Skole, P. A.
7 Steudler. 1995. Soil carbon stocks of the Brazilian Amazon Basin. *Soil Sci. Soc.*
8 *Am. J.* 59:244-247.
- 9 Murdiyarso, D., Hairiah, K. and van Noordwijk, M. (eds.). 1994. Modelling and Measuring
10 Soil Organic Matter Dynamics and Greenhouse Gas Emissions after Forest
11 Conversion. ASB-Indonesia Report No. 1. Bogor. 118 p.
- 12 Parton, W.J., Woomer, P.L. and Martin, A. 1994. Modelling soil organic matter dynamics
13 and plant productivity in tropical ecosystems. *In:* (P.L. Woomer & M.J. Swift, eds.)
14 *The Biological Management of Tropical Soil Fertility.* J. Wiley & Sons, Chichester,
15 UK. pp 171-189.
- 16 Parton, W.J., Schimel, D.S., Cole, C.V. and Ojima, D.S. 1987. Analysis of factors
17 controlling soil organic matter levels on Great Plains grasslands. *Soil Sci. Soc. Am.*
18 *J.* 51:1173-1179.
- 19 Post, W.M., T. Peng, W.R. Emmanuel, A.W. King, V.H. Dale and D.L. De Angelis. 1990.
20 *The global carbon cycle. American Scientist* 78:310-26.
- 21 Sanchez, P.A. 1988. Deforestation reduction initiative: An imperative for world sustainability
22 in the Twenty-First Century. *In:* (A.F. Bouwman, ed.) *Soils and the Greenhouse*
23 *Effect.* J. Wiley and Sons, New York. pp. 375-382.
- 24 Sanchez, P.A. 1987. Soil productivity and sustainability in agroforestry systems. *In:* (H.A.
25 Steppeler and P.K.R. Nair, eds.) *Agroforestry: a decade of development.* International
26 Council for Research in Agroforestry, Nairobi. p. 205-210.
- 27 Sitompol, S.M., Hairiah, K., van Noordwijk, M. and Woomer, P.L. 1996. Organic matter
28 dynamics after conversion of forests to food crops or sugarcane: Predictions of the
29 CENTURY Model. *AGRIVITA* 19:198-206.
- 30 Szott, L. T., C. A. Palm, C. B. Davey. 1994. Biomass and litter accumulation under
31 managed and natural tropical fallows. *Forest Ecology and Management* 67: 177-190.
- 32 Tomich, T. P., J. Kuusipalo, K. Mena, N. Byron. 1997. Imperata economics and policy.

- 1 Agroforestry Systems 36: 233-61.
- 2 Uhl, C, R. Buschbacher, E. A. S. Serrao. 1988. Abandoned pastures in eastern Amazonia.
- 3 I. Patterns of plant succession. J. Ecol. 76: 663-681.
- 4 Woodwell, G. M., R. H. Whittaker, W. A. Reiners, G. E. Likens, C. C. Delwiche, D. B.
- 5 Botkin. 1978. The biota and the world carbon budget. Science 199: 141-146.

1 Table 1. Carbon stocks were estimated for different land uses within the Alternatives to Slash-
 2 and-Burn Benchmark areas.
 3

4	Benchmark Area/ 5 coordinates	Location/ 6 lead agency	Comments (No. of land uses, chronosequences)
7	Pedro Peixoto 8 61.7°W, 10.0°S	Acre, Brazil 9 EMBRAPA ¹	Logged semideciduous Amazonian forest occupied by government-sponsored colonist ranchers and farmers since 1972 (7,1)
10	Theobroma 11 62.1°W, 10.1°S	Rondonia, Brazil 12 EMBRAPA	Logged-over semideciduous Amazonian forest occupied by government-sponsored colonist ranchers and farmers since 1979 (7,2)
13	Ebolowa 14 11.1°E, 2.5°N	Cameroon 15 IRAD ²	Unlogged evergreen Guineo-Congolian rain forest occupied by indigenous tribes practising long-term fallow (6,2)
16	Mbalmayo 17 11.7°E, 3.5°N	Cameroon 18 IRAD	Logged moist semideciduous Guineo- Congolian forest occupied by indigenous tribes with large areas of young tree fallow (6,2)
19	Yaounde 20 11.4°E, 4.1°N	Cameroon 21 IRAD	Logged-over, drier, peripheral semi-deciduous Guineo-Congolian forest occupied by indigenous tribes and spontaneous migrants practising bush fallows and continuous cultivation (6,2)
22	Jambi 23 102.2°E, 1.5°S	Sumatra, Indonesia 24 AARD ³	Logged Dipterocarp evergreen forest concession occupied by non-agricultural indigenous tribes and migrants practising mixed cultivation and rubber agroforests (6,2)
25	Lapung 26 108.4°E, 4.5°S	Sumatra, Indonesia 27 AARD	Logged-over Dipterocarp forest occupied by spontaneous migrants cultivating food and market crops under continuous cultivation and agroforests, extensive Imperata grasslands (6,2)
28	Pucallpa 29 74.5°W, 8.2°S	Ucayali, Peru 30 INIA ⁴	Logged-over evergreen Amazonian forest settled by migrant ranchers and farmers with close proximity to city markets (7,1)
31	Yurimaguas 32 76.1°W, 5.8°S	Loreto, Peru 33 INIA	Logged Amazonian rain forest settled by farmers with large areas of tree fallow with poor proximity to markets (7,1)

40 ¹ Empresa Brasileira de Pesquisa Agropecuaria, Acre and Rondonia; ² Institut de la Recherche
 41 Agronomique pour Development, Nkolbison; ³ Agency for Agricultural Research and
 42 Development; ⁴ Instituto Nacional de Investigation Agraria.

1 Table 2. Chronosequential age, total system C and proportion of aboveground carbon in
 2 tropical forests and lands converted by slash-and-burn.
 3

4 land use	5 n	6 age in sequence (yr)	7 total system C t C ha ⁻¹	8 aboveground:total C
9 original forest	10	n.a. ¹	305 (23)	0.72 (0.04)
10 managed forest	9	n.a.	181 (18)	0.73 (0.05)
11 burned and cropped	18	2.1 (0.3) ²	52 (7)	0.23 (0.07)
12 bush fallow	17	4.6 (0.2)	85 (9)	0.22 (0.06)
13 tree fallow	8	9.4 (0.3)	136 (16)	0.48 (0.08)
14 secondary forest	8	19.4 (2.2)	219 (18)	0.61 (0.03)
15 pasture	9	10.0 (1.2)	48 (11)	0.20 (0.06)
16 <i>Imperata</i> grassland	8	13.0 (2.0)	47 (6)	0.05 (0.01)
17 young agroforest	10	5.0 (0.7)	65 (10)	0.28 (0.04)
mature agroforest	19	23.1 (1.6)	130 (11)	0.58 (0.04)

18 ¹ not applicable, sequences begin at forest clearing. ² data in parentheses denote standard
 19 errors.

1 Table 3. Total system carbon in original and managed forest systems.
2

3 forest zone 4 -----	5 --- total system C --- 6 original managed 7 ----- t C ha ⁻¹ -----		8 comments on management 9 -----
	6 Amazonian	256 (22) ^a	
7 Dipterocarp	433 (na) ^b	143 (17)	logging concessions and agroforests
8 Guineo-Congolian	308 (26)	179 (14)	mature cacao agroforests ^c
10 overall	305 (23)	166 (11)	

12 ^a Standard Errors in parentheses. ^b based upon single observation. ^c trees are selectively felled
13 and cacao understorey established.

1 Table 4. Soil organic carbon in different slash-and-burn land uses and forest zones.
2

3 land use	4 ----- forest zone -----			5 mean	6 Tukey T-test P
	7 Amazonian	8 Dipterocarp	9 Guineo-Congolian		
	----- t soil C ha ⁻¹ -----				
6 forests ¹	30.9	48.1	42.8	42.7	0.03
7 crops & bush ²	38.8	29.5	37.2	35.3	n.s.
8 agroforests ³	22.5	46.7	43.2	39.8	0.02
9 grasslands ⁴	29.6	36.8	--	33.2	n.s.
10 -----					
11 mean	31.2	40.5	41.1	38.4	0.01
12 Tukey T-test P ⁵	0.01	0.04	n.s.	0.07	
13 -----					

14 ¹ forests include original and secondary forests and tree fallows. ² crops & bush include all
15 burned and cropped lands and bush fallows. ³ agroforests include all young and mature
16 agroforests. ⁴ grasslands include all pastures and *Imperata* grasslands. ⁵ Probabilities assigned
17 through Tukey Highest Significant Difference T-test.

1 Table 5. Carbon sequestration in natural fallows and secondary forests.
2

3 forest zone	----- natural vegetation -----		
	4 bush fallow	tree fallow	secondary forest
	----- t C ha ⁻¹ y ⁻¹ -----		
6 Amazonian	3.9 (1.0) ^a	--	6.2 (1.3)
7 Dipterocarp	--	--	6.2 (na) ^b
8 Guineo-Congolian	5.1 (2.6)	8.5 (1.3)	9.3 (0.9)
10 overall	4.6 (1.6)	8.5 (1.3)	8.3 (0.8)

11
12 ^a Standard Errors in parentheses. ^b based upon single observation.

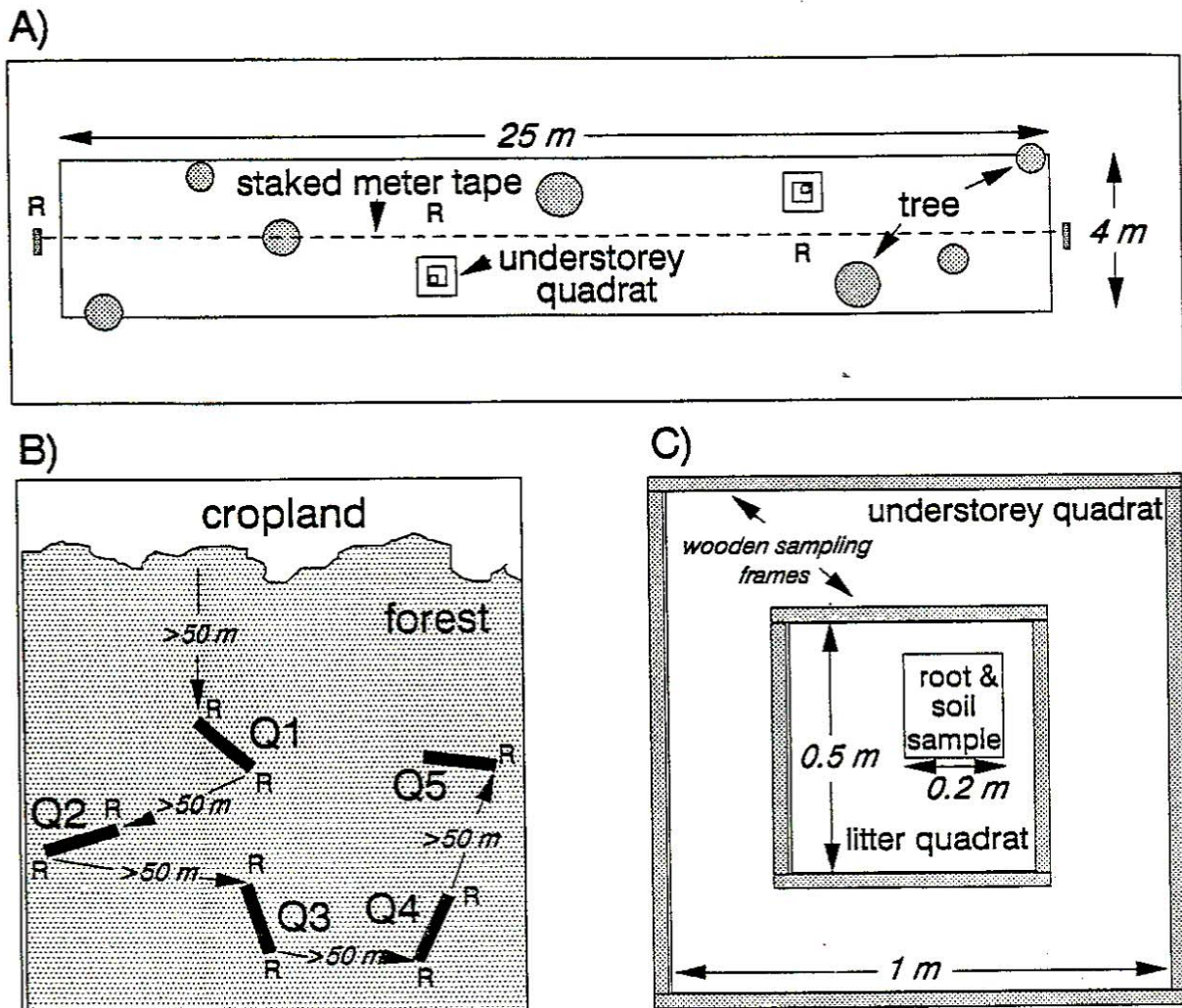


Figure 1. Carbon stock estimation of woody biomass was based on five 100 m² quadrates (A) randomly positioned within land uses (B) with understorey, litter and soil measurements collected within sub-quadrates (C).

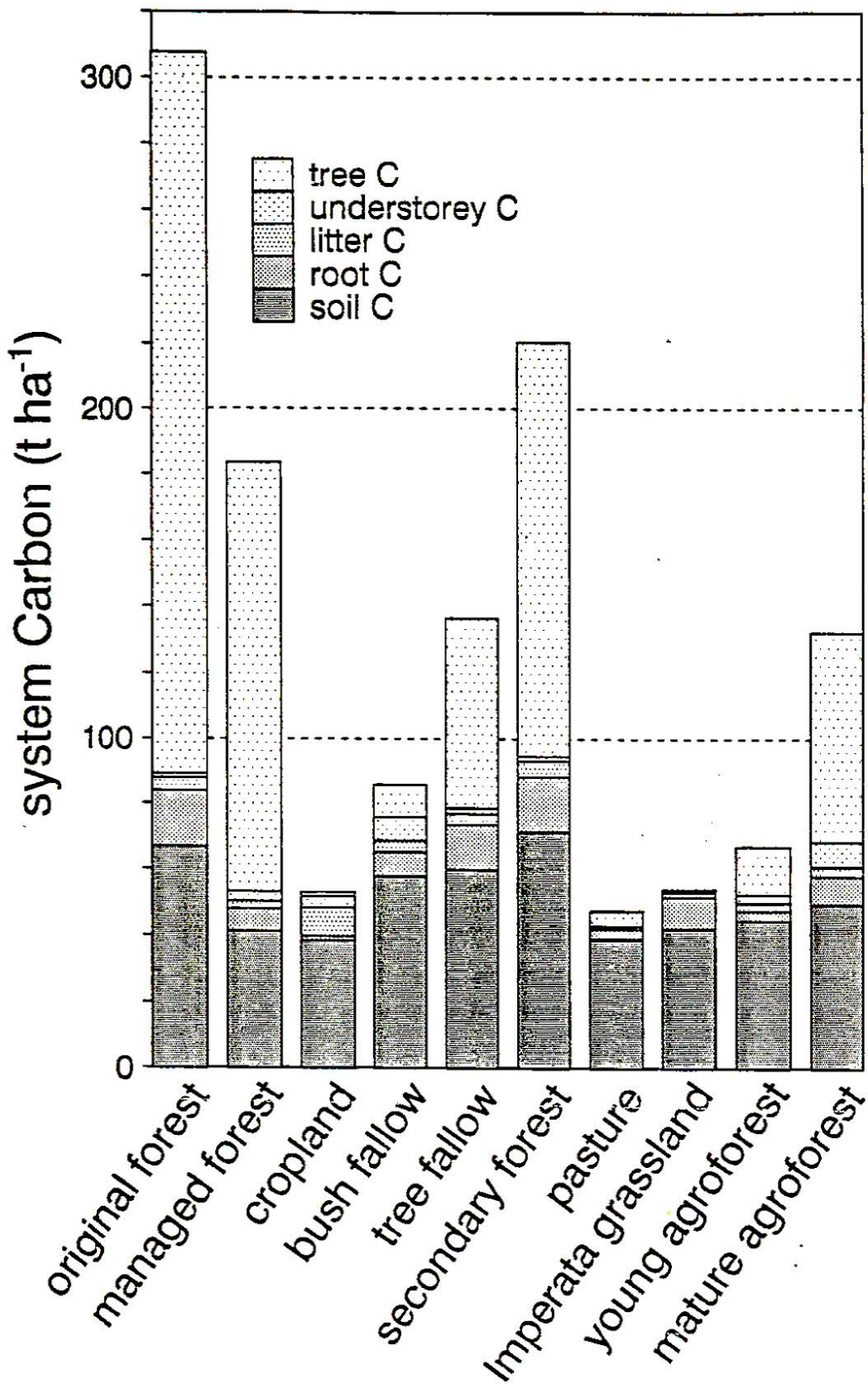


Figure 2. Carbon stocks in 10 slash-and-burn and alternative land uses.

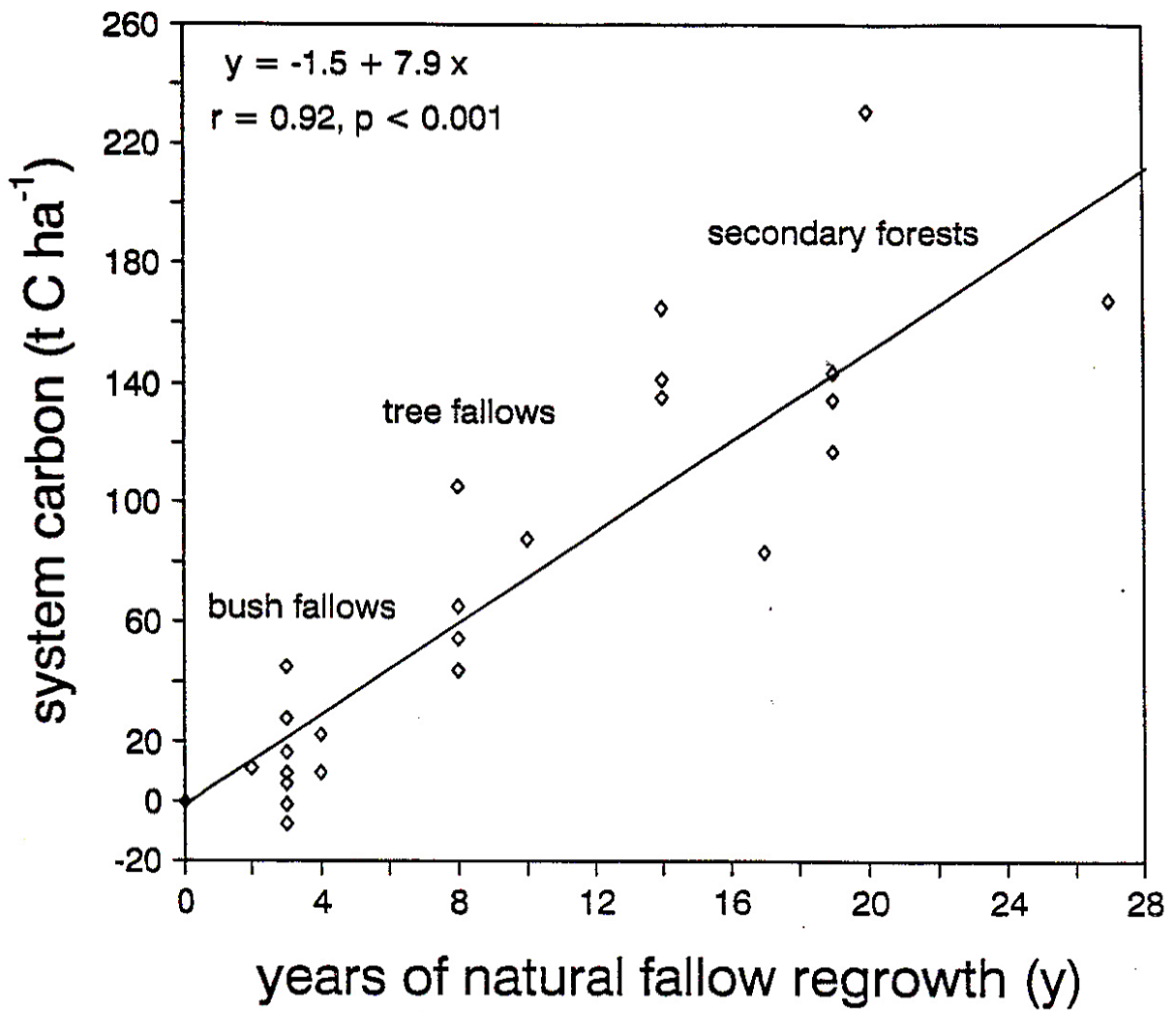


Figure 3. Carbon loss due to forest conversion and recovery in natural fallows and agroforestry systems: All observations plotted.

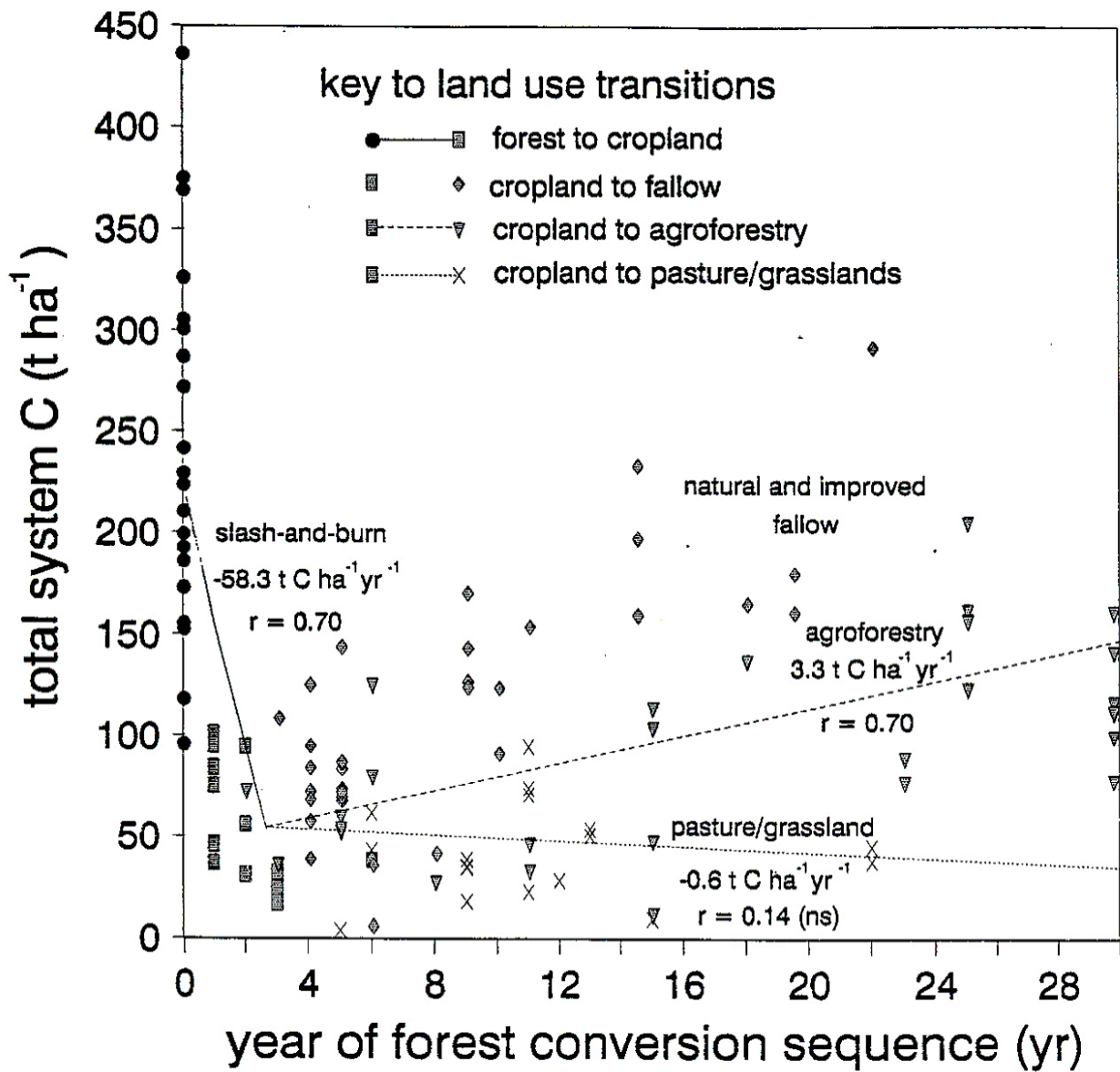


Figure 4. Total system carbon measured along slash-and-burn land use chronosequences in Brazil, Cameroon, Indonesia and Peru.

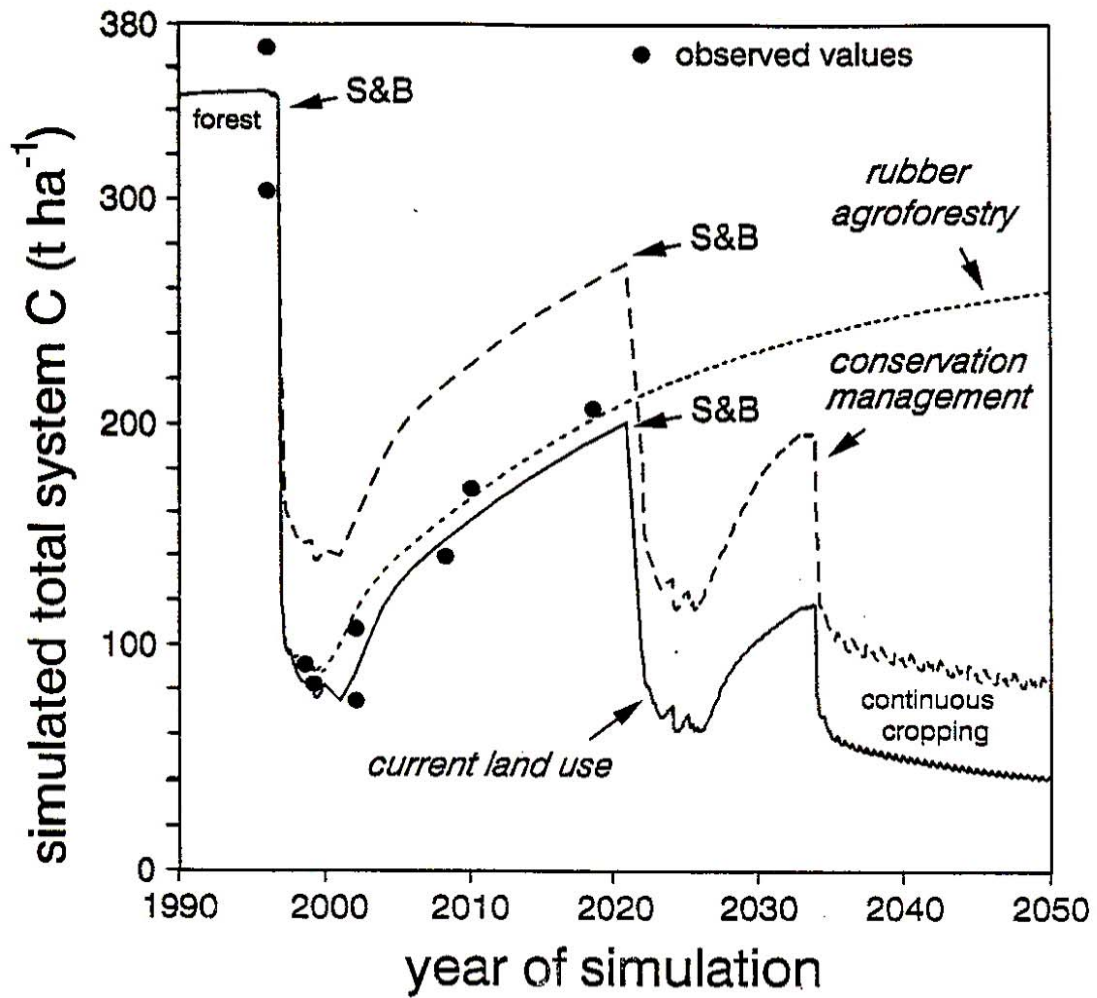
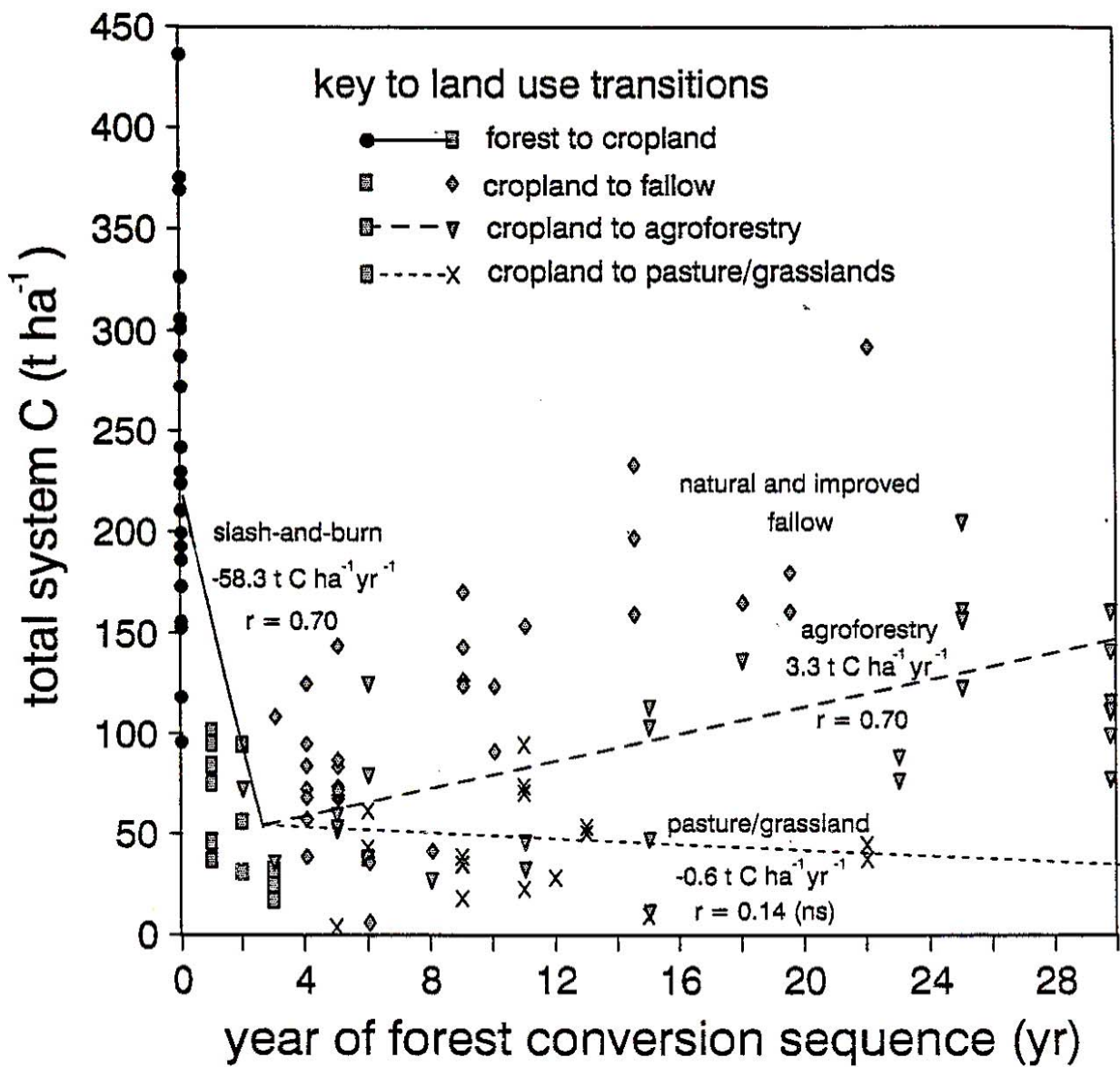


Figure 5. A CENTURY Model simulation of current and alternative land management practices based upon data from Ebolowa, Cameroon.





Man and the Biosphere
Programme (MAB)

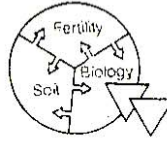
TSBF

International Union
of Biological Sciences



TROPICAL SOIL BIOLOGY AND FERTILITY PROGRAMME

UN Complex, Gigiri (Block B)
P O Box 30592
NAIROBI



Tel: +254 2 622584/659

Fax: +254 2 622733/521159

E-mail: TSBFINFO@TSBF.unon.org

5 December 1997

To: Co-authors *Rose*
From: Paul Woomeer and Cheryl Palm *cp*

Dear Co-authors

This week Paul Woomeer presented a synthesis of the ASB Carbon Stock data at an international conference in Belem, Brazil on 'Carbon Pools in Tropical Forests.' The proceedings are to be published in *Advances in Soil Science*. We have enclosed a first draft of the paper and would appreciate your comments and suggestions. Please send them to us by 15 January 1997, as the editor of the proceedings works quickly.

Also enclosed you will find a diskette with the consolidated dataset. The file is called *CSTOCK.WK1*, in Lotus, so everyone should be able to retrieve it. Please check and confirm the information from your site and advise us of any changes.

Happy Holidays.